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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/735,507         | 12/11/2003             | Harald Jager          | 5367-62 (7L)           |

COHEN, PONTANI, LIEBERMAN & PAVANE  
Suite 1210  
551 Fifth Avenue  
New York, NY 10176

CONFIRMATION NO. 5320

## FORMALITIES LETTER



\*OC000000013269263\*

19 Sept 2004

Date Mailed: 07/19/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- \$130** Late oath or declaration Surcharge.

COHEN, PONTANI, LIEBERMAN &amp; PAVANE

Replies should be mailed to: Mail Stop Missing Parts  
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*A copy of this notice **MUST** be returned with the reply.*

PO 65340800

T. Ketsela

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Harald JAGER et al.

Serial No.: 10/735,507

Filed: December 11, 2003

For: Process for the Production of Light-Emitting Diode Light Sources with a Luminescence Conversion Element

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on  
September 20, 2004  
(Date of Deposit)

Thomas Langer

Name of applicant, assignee or Registered Representative

Signature

September 20, 2004

Date of Signature

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P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION -FILING DATE GRANTED**

S I R:

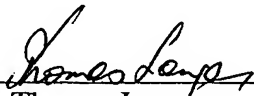
In order to complete the filing of missing parts for the above-identified application, applicants submit herewith the following:

- [x] Executed Declaration and Power of Attorney. The application filed in the U.S. Patent and Trademark Office is the application which the inventor(s) executed by signing the enclosed Declaration.
- [x] Check in the amount of \$130.00 to cover the late filing of the Declaration and Power of Attorney, as required by 37 CFR 1.16(e).
- [x] Assignment of the invention to **Osram Opto Semiconductors GmbH**
- [x] Recordation cover sheet - PTO Form 1595
- [x] Check in the amount of \$40.00 to cover the recordation fee
- [x] Copy of Notice to File Missing Parts of Nonprovisional Application

If an extension of time to respond to this Notice To File Missing Parts of Application is required, then this paper also constitutes an express request for such an extension of time.

In the event that any additional fees or charges are deemed necessary in connection with the application at the present time, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By:  \_\_\_\_\_  
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue  
New York, New York 10176  
(212) 687-2770

Dated: 20 September 2004

5367-62 TL//EM 20 September 2004

In re Application of

Harald JAGER et al.

Serial No.: 10/735,507

Filed: December 11, 2003

For: Process for the Production of Light-Emitting Diode Light  
Sources with a Luminescence Conversion Element

Enclosed Herewith:

1. Response to Notice to File Missing Parts with Cert. of Mail
2. Declaration and Power of Attorney
3. Recordation Cover Sheet
4. Assignment
5. Check for \$130 for late declaration fee
6. Check for \$40.00 for recordal fee
7. Copy of Notice to File Missing Parts
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KINDLY DATE STAMP AND RETURN  
TO ACKNOWLEDGE RECEIPT

COHEN, PONTANI, LIEBERMAN &amp; PAVANE

ATTORNEYS AT LAW  
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11 West 51st Street  
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*Thomas P. Pavane*

AUTH. SIG.

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AUTH. SIG.

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